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NOTICE OF ALLOWANCE AND FEE(S) DUE

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06/02/2004

LISA A HAILE GRAY CARY WARE & FRIEDENRICH 4365 EXECUTIVE DRIVE SUITE 1600 SAN DIEGO, CA 92121

| EXAM | IINER |
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| CARLSON | , KAREN C |
| ART UNIT | PAPER NUMBER |

1653

DATE MAILED: 06/02/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/462,517 | 05/18/2000 | CHARLES S. ZUKER | AURO1210-1 | 5170 |

TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR IDENTIFYING MODULATORS OF TRANSDUCISOMES, A NEW CLASS OF THERAPEUTIC TARGETS

| APPLN, TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$665 | \$0 | \$665 | 09/02/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

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- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
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- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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| APPLICATION NO. | FILING DATE | | FIRST NAMED II | NVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 09/462,517 | 05/18/2000 | - | CHARLES S. | ZUKER | AURO1210-1 | 5179 |
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| CARLSON, | , KAREN C e address or indication of "Fe | 1653 | | 514-044000 | | |
| Address form PTO/SB/12 "Fee Address" indicatic PTO/SB/47; Rev 03-02 of Number is required. B. ASSIGNEE NAME AND PLEASE NOTE: Unless been previously submitted (A) NAME OF ASSIGNE | on (or "Fee Address" Indicate or more recent) attached. Use RESIDENCE DATA TO Be an assignee is identified belied to the USPTO or is being stated assignee category or category. | ion form of a Customer E PRINTED ON Tow, no assignee daubmitted under sep (B) ries (will not be printed above the print | agents OR, a firm (having agent) and the attorneys or will be printed. HE PATENT (p atta will appear o parate cover. Cord.) RESIDENCE: Inted on the pater Payment of Fee | rint or type) n the patent. Inclusion o npletion of this form is N (CITY and STATE OR (| me of a single ed attorney or gistered patent sted, no name 3 of assignee data is only appropria NOT a substitute for filing an assignee data COUNTRY) | |
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| other than the applicant; a interest as shown by the rec This collection of informat obtain or retain a benefit b application. Confidentiality estimated to take 12 minute completed application form case. Any comments on t suggestions for reducing the Patent and Trademark O 22313-1450. DO NOT SE SEND TO: Commissioner for the property of the property | Publication Fee (if require registered attorney or age ords of the United States Pattion is required by 37 CFR by the public which is to file is governed by 35 U.S.C. 12 to complete, including gain to the USPTO. Time will he amount of time you re is burden, should be sent to ffice, U.S. Department of SND FEES OR COMPLET or Patents, Alexandria, Virgination Act of 1995, no resultation. | nt; or the assignee ent and Trademark 1.311. The informate e (and by the USF) 2 and 37 CFR 1.14 thering, preparing, vary depending usquire to complete to the Chief Inform f Commerce, Ale TED FORMS TO inia 22313-1450. | or other party Office. ation is required PTO to process) 4. This collection and submitting pon the individe this form and ation Officer, U exandria, Virgi THIS ADDRES | to an is the ual //or | | |
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| 75 | 90 06/02/2004 | | EXAM | INER |
| LISA A HAILE GRAY CARY WA | RE & FRIEDENRICH | | CARLSON, | KAREN C |
| 4365 EXECUTIVE | DRIVE | | ART UNIT | PAPER NUMBER |
| SUITE 1600 SAN DIEGO, CA 9 | 2121 | | 1653 | |
| | | | DATE MAILED: 06/02/2004 | ļ |

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

| | Application No. | T |
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| | Application No. | Applicant(s) |
| Notice of Allowahility | 09/462,517 | ZUKER ET AL. |
| Notice of Allowability | Examiner | Art Unit |
| | Karen Cochrane Carlson, Ph.D. | 1653 |
| The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to | plication. If not included |
| 1. This communication is responsive to April 30, 2004. | | |
| 2. ☑ The allowed claim(s) is/are <u>14,15 and 43-50</u> . | | |
| 3. The drawings filed on are accepted by the Examine | r. | |
| 4. ☐ Acknowledgment is made of a claim for foreign priority una) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the cattached Examiner's comment regarding REOLIBEMENT. | been received. been received in Application No cuments have been received in this of this communication to file a reply ENT of this application. itted. Note the attached EXAMINER' be reason(s) why the oath or declara t be submitted. on's Patent Drawing Review (PTO-6 Amendment / Comment or in the O 84(c)) should be written on the drawing the header according to 37 CFR 1.121(c) sit of BIOLOGICAL MATERIAL m | national stage application from the complying with the requirements S AMENDMENT or NOTICE OF tion is deficient. 948) attached ffice action of the back) of the complying with the front (not the back) of the complying application in the submitted. Note the |
| attached Examiner's comment regarding REQUIREMENT I | FOR THE DEPOSIT OF BIOLOGICA | AL MATERIAL. |
| Attachment(s) 1. Notice of References Cited (PTO-892) | 5. Notice of Informal P | atent Application (PTO-152) |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948) | 6. ☐ Interview Summary | |
| 3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/0 | Paper No./Mail Date | e . |
| Paper No./Mail Date <u>1/22/04</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit | 8 Evaminar's Stateme | nt of Reasons for Allowance |
| of Biological Material | 9. □ Other Laren Cach | ane Caels an Ph |
| | KAREN COCHRAI | NE CARLSON, PH.D EXAMINER |

| FORM PTO-1449 O F | Docket No. | Serial No.: |
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| U.S. Department of Commerce Parent and Trademark Office JAN 2 2 2004 | AURO1210-1 | 09/462,517 |
| THE POLICE OF THE PARTY OF THE | Applicant: Zuker et al. | |
| INFORMATION DISCLOSURE STATEMENT | Filing Date: | Group Art Unit: |
| BY APPLICANT | May 18, 2000 | 1653 |

U.S. PATENT DOCUMENTS

| EXAM. INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|-------------------|----|--------------------|-------------------|-----------------------|-------|---------------|----------------|
| | AA | 6,004,808 Cited | 12/21/1999 592 | Paul Negulescu et al. | | | |
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| EXAM. INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION (YES/NO) |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| U.S. Department of Commerce Patent and Trademark Office | Docket No. Serial No.: 09/462,517 | | |
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| JAN 2 2 2004 # | Applicant: Zuker et al. | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Filing Date: May 18, 2000 | Group Art Unit: 1653 | |

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| \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ | Applicant: Zuker et al. | |
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